

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/991,762 Confirmation No. 5316  
Applicant : Margaret Sue Ellis  
Filed : November 21, 2001  
TC/A.U. : 3691  
Examiner : Tinkler, Muriel S.

Docket No. : 1698/US  
Customer No. : 20686

For: : ANALYZING INVESTMENT DATA

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Sir:

In response to the non-final Office action of November 28, 2007, please amend the above-identified application as follows:

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 10 of this paper.